

PATENT APPLICATION
Docket No: 15436.134.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of

Johnny Zhong, et al.

Serial No.:

10/724,428

Confirmation No.:

9868

Filed:

November 26, 2003

For:

A BATCHED PACKAGE PROCESS FOR
CREATING OPTICAL BLOCKS FOR USE IN
FORMING OPTICAL COMPONENTS

Examiner:

Unknown

JUL 28 2004

Art Unit
3726**OFFICIAL**

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, patent applications, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed references are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

DATED this 28 day of July, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
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Form PTO-1449

Sheet 1 of 2

Applicant: Johnny Zhong, et al.
Serial No.: 10/724,428
Filing Date: November 26, 2003
For:

Att'y Docket No.: 15436.134.1
Group: 3726

A BATCHED PACKAGE PROCESS FOR CREATING OPTICAL
BLOCKS FOR USE IN FORMING OPTICAL COMPONENTS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTRelated U.S. Patent Applications

<u>Examiner Initial*</u>	<u>Inventor(s)</u>	<u>Application Number</u>	<u>Filing Date</u>
___ 1	Zhong, et al.	10/724,471	11/26/2003
___ 2	Zhong, et al.	10/724,426	11/26/2003

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 3	5,812,306	09/22/1998	Mizrahi
___ 4	5,652,814	09/29/1997	Pan, et al.
___ 5	5,754,718	05/19/1998	Duck, et al.
___ 6	6,388,783	05/14/2002	Weller-Brophy

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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NONE

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 2

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BLOCKS FOR USE IN FORMING OPTICAL COMPONENTS

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial*

NONE

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

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